



Association of Certified Fraud Examiners

SUPPORTING THE PROFESSION, EDUCATING THE PROFESSIONAL

Breakfast Meeting

– AML Non-compliance; Inappropriate Defences & Irrelevant Threats

Presenter: Mr. Peter A Gallo

Admitted as a solicitor in both Hong Kong and Scotland and qualified as an attorney in New York, Peter is a CFE and a Certified AML Specialist. He has been in Hong Kong since 1992, mostly involved in due diligence and fraud investigations in the initial years, but has concentrated on AML issues for the last 16 years. He also delivers the Exam Preparation Seminar for the CAMS qualification in Asia and publishes the "AMail" anti-money laundering newsletter.

Format

Breakfast Meeting

Date and Time

Thursday
June 19, 2008
8.00 am - 10.30 am

Venue

The Butterfield's
2/F – 4/F, Dorset House,
979 King's Road, Taikoo Place
Quarry Bay, Hong Kong
(Please check location map at
www.butterfields.com.hk)

Of interest to:

Fraud Investigators
Compliance Officers
Auditors and Accountants
Company Directors
Financial Officers

AML Non-compliance; Inappropriate Defences & Irrelevant Threats

Peter will talk about the risk that financial institutions face, not only from regulatory sanctions, but from disgruntled employees, and the consequences of legislation in both the US and the UK to protect "whistleblowers".

There is legislation in these countries to protect individuals who report fraud and other wrongdoing. At the same time, never mind all the political hype, there is an enormous amount of anti-money laundering legislation which he says does not actually provide any real protection against the risk.

According to Peter, the result could be an accident just waiting to happen – companies spending more and more money on their Compliance budget, only to be faced with more and more allegations of money laundering to be investigated.

Peter argues that for CFEs who are involved in consulting on measures to defend against such risks, this could be bad news because it suggests not only the existing anti-money laundering measures are a waste of money, but that the situation can only get worse in the future.





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Registration Slip

I would like to reserve _____ seat(s) for the Breakfast Meeting on June 19, 2008 (Thursday) and payment of HK \$ _____ Cheque no. _____ is enclosed.

Name: _____ Membership No: _____

Company & Title _____

Mailing address (if different from previous record): _____

Tel No: _____ Fax No: _____ Email address: _____

Remark:

1. Registration will be accepted on a first-come-first served basis.
2. Please complete and return this form to ACFE HK by fax: 36901966 or email to: admin@acfehk.com.
3. Please send cheque made payable to "Association of Certified Fraud Examiners Hong Kong Chapter Ltd.", together with this registration slip to PO BOX 8412, Central, Hong Kong.
4. Your reservation will be confirmed upon receipt of your cheque.
5. Payment will not be refundable, but transfer to another person of the same category will be accepted.
6. **Early Bird bookings MUST be received by June 6, 2008.**

FEES:

	<i>HK Chapter Member</i>	<i>CFE/Associate Member</i>	<i>Non Member</i>
Early Bird Booking	350	380	400
Normal Booking	380	400	450

DISCOUNTS:

- A discount of less 10% will be given to groups of 5 or more registering together. In order to enjoy the group discount, all group members **MUST** register and submit payment at the same time.
- Full payment is required in order to receive early bird discounts.

Details are also available from www.acfehk.com/events.htm

